

Yoga Therapy in Functional Dyspepsia. A Narrative Reviews

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ABSTRACT

Functional dyspepsia (FD) is a common upper gastrointestinal disorder, characterized by bothersome epigastric pain or burning, fullness after meals or early satiety. The precise pathophysiology remains incompletely understood but may include the role of disordered gut-brain communication leading to disturbances in gastro-duodenal physiological functioning. Even if there are several pharmacological treatment options, it is a chronic and relapsing disorder with persistent symptoms that makes its management difficult. Yoga is a fast-spreading complementary and alternative medicine (CAM) specialty, that has gained attention in the medical field for its ability to address the physical, emotional, mental and social aspects of health and disease. Various other CAM therapies are being used for FD with varying efficacy. However, apart from one research study that used yoga therapy on abdominal pain related functional gastrointestinal disorders in children which included a few FD cases as well (11.6%), no other study using yoga therapy has been done in FD as per our best knowledge. Therefore, in the present review, we have summarized the current scientific understanding of the probable effects of yoga on the pathophysiological mechanisms involved in FD (gastric motility, fundic accommodation, hypersensitivity, duodenal inflammation, psychological distress and gut-brain dysfunction). The literature suggests yoga can have a beneficial role in the management of FD. However, rigorous research and clinical trials are required to confirm the same.

Key words: yoga – yoga therapy – functional dyspepsia – gut-brain axis – hypothalamus-pituitary axis – vagus nerve.

Abbreviations: AG: acylated ghrelin; CAM: complementary and alternative medicine; CNS: central nervous system; CRH: corticotropin releasing hormone; CRP: C-reactive protein; DAG: des-acylated ghrelin; DGBI: disorder of gut-brain interaction; ENS: enteric nervous system; EPS: epigastric pain syndrome; FD: functional dyspepsia; FGID: functional gastrointestinal disorder; GABA: gamma-aminobutyric acid; GBA: gut-brain axis; *H. pylori*: *Helicobacter pylori*; HPA: hypothalamus-pituitary axis; HRA: heart rate variability; IL: interleukin; INF- γ : interferon-gamma; NANC: non-adrenergic non-cholinergic; NF- κ B: nuclear factor kappa B; NO: nitric oxide; PDS: postprandial distress syndrome; PPI: proton pump inhibitor; RCA: randomized controlled trial; RSA: respiratory sinus arrhythmia; TCA: tricyclic antidepressants; TM: transcendental meditation; TNF- α : tumor necrosis factor alpha; 5HIAA: 5-hydroxyindoleacetic acid; 5-HT: 5-hydroxytryptamine.

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INTRODUCTION

Functional dyspepsia (FD) is one of the most common disorders of gut-brain interaction (DGBI), previously known as functional gastrointestinal disorders (FGIDs) affecting the gastroduodenal region of the upper gastrointestinal tract [1]. Characteristic symptoms include epigastric pain, epigastric

burning, postprandial fullness, and early satiety [2]. Associated symptoms such as bloating, belching, nausea, or vomiting may also be present [3].

Functional dyspepsia is diagnosed when no organic or structural causes are found during upper digestive endoscopy and Rome IV criteria are fulfilled that includes bothersome clinical symptoms [4]. However, low-grade duodenal inflammation is now considered an etiological factor in a subset of FD patients [5]. Approximately 70- 80% of individuals with dyspepsia have no structural explanation for their symptoms and have FD [2].

Rome-IV categorizes FD into broadly two categories: (i) epigastric pain syndrome (EPS) which includes presence of

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bothersome epigastric pain or/and burning, at least once a week for 3 months with symptom onset at least 6 months prior; (ii) postprandial distress syndrome (PDS) which includes bothersome fullness after meals or/and early satiety present at least 2-3 days a week for 3 months with symptom onset at least 6 months prior [5, 6].

Epidemiology

According to the Rome Foundation global survey study, the worldwide prevalence of FD is 7.2% in Internet surveys covering 24 countries and 4.8% in household surveys covering 7 countries, thereby making it the most prevalent gastroduodenal disorder [7]. Prevalence in Asian countries is estimated to be 8-23 % [8]. Prevalence in India is estimated from community studies reporting the prevalence of uninvestigated dyspepsia. While a study from Mumbai reported prevalence to be 30.4% [9], another study from Chandigarh reported it at 7.5% [10]. Another Indian multi-centric study reported the frequency of dyspeptic symptoms to be as high as 49% in the community [11]. Differences in the reported prevalence of dyspepsia can be due to variations in criteria or definition used for diagnosis [12] as well as cultural, dietary and socio-economic factors in different parts of the country.

Risk Factors

Studies suggest that female gender, smoking, non-steroidal anti-inflammatory drugs [13], acute gastrointestinal infections [14, 15], childhood abuse [16], psychological distress (including perceived stress [17], anxiety and depression [18]) are risk factors for developing FD. *Helicobacter pylori* (*H. pylori*) infection was considered one of the risk factors; however, the Kyoto Global Consensus Meeting held in 2014 [19] suggested considering *H. pylori*-associated dyspepsia as a separate clinical entity as *H. pylori* eradication therapy only works in a minority of patients [20]. In Asia, heavy chili intake is implicated too [21].

PATHOPHYSIOLOGY

The pathophysiology of FD is not completely understood, and research is being carried out to understand mechanisms better for targeted therapy.

Gut Sensory and Motor Dysfunction

Functional dyspepsia has been attributed to various functional disturbances. Slow gastric and duodenal motility [5] are implicated in postprandial fullness, nausea and vomiting while inability of fundus to completely relax (leading to impaired gastric accommodation) [1] is associated with early satiety and is found in 40% of patients [22]. Visceral hypersensitivity, i.e., hypersensitivity of the stomach or duodenum to distension [1] or acid exposure [23] is implicated in epigastric pain and occurs in about one-third of the cases.

All these physiological disturbances were considered to be idiopathic in nature; however, more recently it has been observed that these might be due to structural changes in the duodenum or secondary to duodenal inflammation.

Duodenal Inflammation

Low-grade duodenal inflammation is now considered as one of the reasons behind FD symptoms in at least a subset of patients. An infection, microbiome alteration or a food allergen may present itself as an antigen causing epithelial and mucosal barrier disruption, immune reaction and inflammation [5]. A type-2 helper cell response is activated in which eosinophils are recruited that may degranulate in some patients [1]. While eosinophil degranulation may impinge on nerve fibre causing pain sensation, circulating cytokines release into blood may invoke an anxiety or stress response leading to reduced gastric motility and visceral hypersensitivity. Duodenal inflammation and increased epithelial permeability may cause sensitivity to acid and induce reflex responses such as delayed gastric emptying and impaired fundic accommodation [24-26].

Psychological Distress

Psychological distress plays an important role in developing FD but ambiguity exists as to whether psychological distress precedes gut symptoms or is a result of already manifested gut symptoms. Many studies have found a causal role of anxiety and/or depression in the etiology [27, 28]; however other studies have now confirmed the existence of a bi-directional pathway in FD [29, 30]. A review suggested that 50% of cases of DGBI started with mood disorders such as stress, anxiety and depression; in the other 50% cases gut disorder preceded mood disorder [31]. Another review [5] suggested that in one-third of individuals, a mood disorder preceded DGBI, and in two-thirds gastrointestinal disorder preceded mood disorder.

Disorder of Gut-Brain Axis

Rome-IV in 2016 changed the definition of FGIDs and renamed them as DGBI [32]. The connection between the central nervous system (CNS) and the enteric nervous system (ENS), is referred to as the gut-brain axis (GBA). It enables the bidirectional connection between the brain and the gastrointestinal tract via neural (sympathetic and parasympathetic branches of autonomic nervous system), neuroendocrine (hypothalamus-pituitary axis), immune (cytokines) and humoral pathways to communicate between the CNS and the ENS [33].

Any psychological or physiological stressor can disrupt the GBA which then alters normal gastric functioning and may lead to mood disorders, often seen in FD. The alteration in GBA can happen in both ways, i.e., (i) from gut to brain, and (ii) from brain to gut.

The hypothalamus is affected by the limbic system (whose main function is to regulate emotions) thus altering the hypothalamus-pituitary axis (HPA) and thereby BGA when faced with psychological stressors. Similarly, gut when faced with physiological stressors of any kind such as infection or an allergen, triggers immune responses thereby altering gut-brain axis. Gut microbiota too interacts with CNS via vagal pathways and any disturbance in it may lead to dysregulation in GBA also termed as microbiota-gut-brain axis [31].

TREATMENT

According to the American College of Gastroenterology (ACG) and Canadian Association of Gastroenterology (CAG)

joint guidelines released in 2017, *H. pylori* eradication therapy should be given if *H. pylori* is found positive in non-invasive testing or proton-pump inhibitors (PPIs) should be given in *H. pylori* negative cases or in those refractory to *H. pylori* treatment, as a first-line treatment [34]. In patients not responding to PPIs or *H. pylori* eradication therapy, tricyclic antidepressants (TCA) should be given, if required [34]. Interestingly ACG/CAG guidelines indicate the use of TCAs before using prokinetics in FD patients; however, in uninvestigated dyspepsia patients TCAs are given if prokinetics fail [4]. Functional dyspepsia patients not responding to PPIs, *H. pylori* eradication therapy or TCA therapy should be offered prokinetic therapy [34]. Functional dyspepsia patients not responding to drug therapy should be offered psychological therapies.

Though *H. pylori* test and treat approach versus empirical PPIs therapy showed no statistically significant difference in a meta-analysis of four randomized controlled trials (RCTs) [20], still it is recommended as a first-line approach because of cost efficacy and to prevent carcinomas in the long-term [35]. Proton pump inhibitors are superior to placebo in FD [36, 37]. As per a Cochrane review conducted by Pinto-Sanchez et al. [36] with sixteen eligible studies, PPIs therapy was slightly more effective than placebo, with 30% of the PPIs group reporting no or minimal symptoms compared with 25% of the placebo group (risk ratio of remaining dyspeptic 0.88, 95%CI: 0.82-0.94; $p < 0.001$) with a number needed to treat of 13. This result was found to be independent of the doses or duration of treatment. There was also no difference when sub-grouped by *H. pylori* status, country of origin, or Rome III subtypes as opposed to a systematic review conducted by Wang in 2007 [37] that considered subgroups such as dysmotility type, ulcer-like dyspepsia, reflux like dyspepsia based on Rome II criteria for the inclusion of studies. In the same study PPIs (40.3%) were more effective compared with placebo (32.7%), yielding a relative risk reduction of 10.3% (95%CI, 2.7-17.3%, $p < 0.005$). The estimated number needed to treat was 14.6 patients (95%CI: 8.7–57.1 patients).

Given evidence of impaired duodenal clearance of gastric acid and duodenal hypersensitivity to infused gastric acid in individuals with FD acid-suppression therapy is a logical treatment [23]. However, they have risks with long-term use [38] and are most useful only in a subset of patients, in those with epigastric pain [5, 39]. Nonetheless, recent studies have also pointed out to their beneficial role in PDS [36]. Sanaka et al. [40] in their review suggested that PPIs delayed the gastric emptying of solid meals as they impaired hydrolytic digestion by inhibiting acid-dependent peptic activity. Prokinetics help postprandial distress more than epigastric pain or burning. But they must be used with caution as few of these drugs prolong QT interval [2]. Cisapride has been shown to be effective in FD; however, has been withdrawn due to increased cardiac events [41]. Metoclopramide too has been associated with tardive dyskinesia [4]. Tricyclic antidepressants such as amitriptyline and imipramine were found to be effective but not selective serotonin reuptake inhibitors [42]. Trials for psychotherapy have provided dichotomous outcomes [4]. A meta-analysis of four trials [34] two of which used cognitive behavioral therapy suggested benefit in reducing persistent symptoms (RR=0.53; 95%CI: 0.44-0.65).

Given the limited efficacy of the aforementioned pharmacologic therapies, it is estimated that approximately 50% of patients with gastrointestinal symptoms try complementary and alternative medicine (CAM) therapies for symptom relief [43, 44]. These therapies that have been used in FD include herbal medicines [45-47], acupuncture [48-50], and hypnotherapy [51, 52] with varying degrees of efficacy. Also, these studies have been limited to certain ethnic population. For example, rikkunoshito, a Japanese herbal medicine, has been used in trials limited to the Japanese population. Acupuncture has been widely practiced in China and Japan [53] and though now is being accepted in western population as well, its trials in Chinese population have been beneficial while it has failed to do so in western population [54]. This limits the generalization of results. A review study on CAM therapies for FD suggested that though some RCTs have proven the efficacy of CAM therapies in DGBIs, additional RCTs are required to make any recommendations for CAM therapies in managing FD [55].

Yoga Therapy

Yoga is an ancient Indian practice of self-transformation and self-realization. It comprises a group of physical, mental, and spiritual practices that aims at purifying, strengthening, and integrating mind, body, and soul for achieving complete state of health and wellness. In yoga, it is said that “loss of the connection with self is the main cause that creates disease” [56], which one seeks to establish through yoga.

Doctor B. Ramamurthy, an eminent neurosurgeon suggested that yoga practices re-orient functional hierarchy of the entire nervous system. He further specified that it not only benefits the nervous system but also cardiovascular, respiratory, digestive, and endocrine systems [57].

Although yoga was traditionally used to achieve the highest state of consciousness, its components are now being used as a therapeutic modality. Yoga therapy uses specific techniques to restore health and overall wellness of individuals. It is a whole-person approach and targets the entire human being rather than a particular body system or organ.

According to the International Association of Yoga Therapists (IAYT), yoga therapy is “the professional application of the principles and practices of yoga to promote health and well-being within a therapeutic relationship that includes personalized assessment, goal setting, lifestyle management, and yoga practices for individuals or small groups” [58]. It further states the goals of Yoga therapy include eliminating, reducing, or managing symptoms that cause suffering; improving function; helping to prevent the occurrence or reoccurrence of underlying causes of illness; and moving toward improved health and well-being.

The beneficial role of yoga in mood disorders such as stress, anxiety and depression is well established [59-61]. Yoga has also been found to be beneficial in cardiovascular, respiratory, autoimmune, metabolic and musculoskeletal disorders [62, 63].

Possible Role of Yoga in FD

Though role of yoga therapy in FD is quite unexplored, we have broken down the research on yoga with regard to individual pathophysiological mechanisms involved in FD such as gastric motility, visceral hypersensitivity, psychological

distress/mood disorders, altered CNS processing, dysfunction in gut-brain axis and the possible role yoga can play in these underlying mechanisms. A summarized form is presented in Table I.

Possible Role of Yoga in Gastric Motility

One main reason recognized behind altered gastric motility is stress which results in the activation of brainstem corticotropin-releasing factor (CRF) receptors as part of stress

Table I. Role of yoga in various underlying mechanisms of FD

S.No.	Pathophysiology	Implications	Probable Causes/Mechanisms	How Yoga can help
1.	Impaired gastric & duodenal motility	Altered gastric emptying Altered Intestinal reflex activity Increased chemosensitivity and mechanosensitivity	Stress induced - SNS decreases gastric motility but increases colonic motility [65] Reduced vagal tone [66,67] Altered ghrelin levels [84] Duodenal inflammation (Discussed below in point 4)	Activation of PNS and decreasing SNS activity [71] Enhancing vagal tone [72,73] Increased UAG and decreased AG levels [89] Regulate immune mechanisms
2.	Impaired Gastric accommodation	Impaired fundus accommodation Increased antrum distension (degree of antral distension is related to severity of FD symptoms) Associated with early satiety	Fundic smooth muscles fail to relax [90] Duodeno-gastric reflex to increased exogenous acid in the duodenum [103] Role of anxiety [108,109]	Increased NO levels [99,100] may help in relaxing fundic smooth muscles Decreased acid levels [106] Reduced anxiety [148–150]
3.	Visceral Hypersensitivity	Increased sensation of pain and burning Altered intestinal reflexes	Reduced anti- nociceptive role of the vagus nerve [110] Altered CNS pain processing [113] Sensitization in the central and autonomic (vagal, spinal) or enteric nervous systems resulting in hyperexcitability [165] Decreased acid clearance from proximal duodenum due to decreased gastro-duodenal motility Local immune activation causing impaired epithelial barrier also responsible for hypersensitivity (further discussed in point 4)	Enhanced vagal nerve tone [72–74] Lessened pain perception has been found in meditators and Yoga practitioners owing to decreased activity in regions of pain perception [166] Autonomic response to pain are altered in Yoga practitioners [167]. Mind-body practices including Yoga, guided imagery, hypnosis have shown positive influence in pain states. [168] The major inhibitory neuron GABA may play a key role in central inhibition and is also implicated in pain control. Studies suggest increase in GABA levels with Yoga [70,123,124]. Yoga may help enhance gastro-duodenal motility by increasing vagal tone (Discussed in point 1)
4.	Duodenal inflammation	Eosinophilic granulation causing nerve firing and pain May also lead to delayed gastric emptying as a part of gastro-duodenal reflex and immune mechanism Weak mucosal barrier	Allergen or infection or psychological stress may trigger immune response causing systemic alterations such as increased levels of circulating cytokines & small intestinal T cells. Acute psychological stress that causes mast cell activation mediated by CRH results in duodenal mucosal permeability [139] Impaired serotonin induced ion secretion in response to duodenal acid infusion → impaired bicarbonate flux → impaired mucosal barrier	Reduction in pro-inflammatory cytokines (IL-6, IL-2, IL-1 β , CRP, TNF- α and IFN-g as well as pro-inflammatory transcription factor NF- κ B) [128–130,135] Reduction in cortisol levels Changed mucosal pattern of stomach [140] Increased serotonin levels [143,144]
5.	Mood Disorders (Stress, Depression & Anxiety)	Activation of SNS (neural) - release of, HPA (neuroendocrine) - release of CRH>ACTH> Cortisol, immune pathways Weak mucosal barrier	Environmental factors, childhood abuse, genetic predisposition, Work or family related	Increased GABA levels in the thalamus correlated with improved mood [123,124] Reduction in cortisol levels [78–80,135] Reduction in stress, depression and anxiety symptoms [152,153,156,157,169,170]
6.	GBA axis dysfunction	Microbiota alteration (could precede also) All above pathophysiological changes (Discussed in point 1-5)	Microbiota alteration Psychological (Brain-Gut) or physiological stressors such as infection, inflammation (Gut-brain)	Meditation has shown positive results in enhancing beneficial bacteria [163,164] Bidirectional role in the regulation of GBA, i.e., from top-down as well as bottom-up pathway by enhancing psychological health [148,156–158,169] as well as addressing gut health [69,164,171–173] .

SNS: sympathetic nervous system; PNS: parasympathetic nervous system; UAG: unacylated ghrelin; AG: acylated ghrelin; NO: nitric oxide; CNS: central nervous system; GABA - γ -aminobutyric-acid; IL: interleukin; CRP: C-reactive protein; TNF- α : tumor necrosis factor-alpha; IFN- γ : Interferon-gamma; NF- κ B - nuclear factor kappa B ; CRH: corticotropin releasing hormone; HPA: hypothalamus pituitary axis; ACTH: adrenocorticotrophic hormone; GBA: gut-brain axis.

response, that decreases gastric motility and inhibits gastric emptying [64, 65]. Any acute stressor be it psychological, environmental or physiological would result in stress response as it is critical for survival. However, rapid counter-regulation happens via vagal nerve once the threat situation is terminated. In states of chronic stress, the body is unable to return to a state of homeostasis. It has been suggested in patients with FD that the stress-induced decrease in antral motility could be associated with a decreased vagal tone, rather than an increased sympathetic tone [66, 67].

Yoga is hypothesized to work by downregulating sympathetic tone, HPA axis and increasing vagal tone [68–70]. Innes et al. [71] in their systematic review reported from 42 studies that over 85% of them offer some evidence of yoga promoting a reduction in sympathetic activation, enhancement of cardiovagal function, and a shift in the autonomic nervous system balance from primarily sympathetic to parasympathetic.

Since there is no direct way to measure vagal tone, research employ tools such as heart rate variability (HRA), respiratory sinus arrhythmia (RSA) that represent its increase or decrease. Bhavanani et al. [72] in their study on four different *Pranayama* reported greater RSA in the traditional ratio, *Pranava* and *Savitri* pranayamas as compared to *Sukha* pranayama. Another study done on 11 healthy yoga practitioners reported increased high-frequency component of HRV associated with increased vagal tone [73]. Raghuraj et al. [74] found significant increase in low frequency (LF) power of HRV following *kapalabhati* suggesting increased sympathetic activity.

Also, increased hypothalamic corticotropin-releasing hormone (CRH) levels have been reported in FD [75] and is implicated in inhibiting vagal efferent activity [76, 77]. The role of yoga in the reduction of cortisol has been studied in several studies. In a study done on 7 yoga instructors, mean serum cortisol decreased after the intervention which included 15 minutes of asana, pranayama, and 20 mins of Soham Meditation [78]. In another study on 59 early-stage breast and 10 prostate cancer patients, eight-week of mindfulness-based stress reduction (MBSR) meditation program led to a decrease in afternoon cortisol levels along with significant improvement in the quality of life, symptoms of stress and sleep quality [79].

Practice of transcendental meditation (TM) for 4 months decreased basal and average cortisol levels and increased cortisol responsiveness to stressors [80]. In another study on TM, participants with 3–5 years of experience had significantly greater decreases in cortisol levels than novices with 3–4 months of TM experience [81]. Decreased cortisol levels reported after yoga interventions indicate attenuation of the HPA axis by yoga [80].

Another reason behind altered gastric motility could be because of altered ghrelin level in FD patients. Ghrelin has a well-established role in increasing appetite and food intake and stimulating gastric motility [82, 83]. Plasma ghrelin levels in patients with FD are controversial. A study reported abnormally low ghrelin levels pre-prandial in FD patients (Rome-II criteria) and the absence of significant postprandial decrease of ghrelin levels in a subset of dysmotility-like FD patients [84]. Another study on FD patients (Rome-III criteria) found acylated ghrelin (AG) levels in PDS subgroup significantly lower than in healthy volunteers and no significant

difference in des-acylated ghrelin (DAG) levels among EPS, PDS and healthy volunteers [85]. Yet another study observed elevated levels of AG in FD patients (Rome-II criteria) than healthy controls whereas the DAG form showed the opposite tendency [86].

Though plasma ghrelin levels varied across research in FD patients, research with extraneous ghrelin administration has shown encouraging results. In the research done on five FD (Rome II criteria) patients [87], ghrelin administration increased daily food intake by approximately 30%. It is important because a subset of FD patients report decreased appetite and food intake. In another study [88] improvement in upper gastrointestinal symptoms was observed in FD patients after administration of the traditional Japanese medicine *rikkunoshito*, accompanied by an increase in the plasma ghrelin levels. In another multicenter, randomized, placebo-controlled trial [47], *rikkunoshito* was found to be effective in decreasing dyspepsia symptoms in FD patients (Rome III criteria) with increased plasma ghrelin levels.

As per the author's best knowledge, only one study has been done on plasma ghrelin levels with yoga. In that particular follow-up study [89] done on metabolic syndrome patients, yoga practice significantly increased levels of total circulating ghrelin and unacylated ghrelin and decreased AG levels after 1 year of yoga training.

Possible Role of Yoga in Gastric Accommodation

Research suggests that reflex gastric accommodation to a meal is achieved via relaxation of the proximal stomach, mediated by vagus nerve [90, 91]. Stimulation of esophageal vagal afferents results in proximal gastric relaxation via either inhibition/withdrawal of tonic cholinergic vagal efferent pathways or activation of inhibitory non-adrenergic non-cholinergic (NANC) pathways [90,92].

Inhibitory NANC pathways responsible for relaxation of stomach involves neurotransmitters, vasoactive intestinal peptide and nitric oxide (NO). The gastric fundus tone is regulated by a balance between a stimulatory cholinergic input and an inhibitory influence from NANC transmitters including NO [93, 94]. In studies done to see the effect of NO synthase inhibition by l-NMMA on proximal gastric volume, it was found that l-NMMA decreased basal fundic volume and reduced fundic relaxation [95,96].

However, the use of NO donors for fundic accommodation dysfunction has shown mixed results [97,98]. While anticholinergic drugs improved the threshold for discomfort as well as maximum distension volume, NO donor nitroglycerin failed to modify thresholds though it improved tolerance in 2 out of 13 patients [97]. In another study sublingual administration of glyceryl trinitrate immediately before a meal improved the overall symptom score [98]. Owing to the significant side effects such as headaches associated with the use of nitrates, Kuiken et al. [95] suggested development of fundus relaxing drugs aimed at selectively activating NO producing neurons at the level of the myenteric plexus.

Studies with yoga as intervention have evaluated the nitric oxide levels in serum and have found significant results. In RCT study on 234 pregnant women, yoga training for twenty weeks significantly increased NO levels along with increase

in total power of HRV, baroreflex sensitivity, and decrease in interleukin-6 [99]. Another study on 200 healthy individuals found significantly elevated levels of plasma nitric oxide and reduction in heart rate, systolic blood pressure and diastolic blood pressure with 6 months of yoga training [100]. Yet another study on 40 volunteer subjects concluded that long-term Yoga practice promotes higher NO concentration in blood [101].

Another factor that can impair gastric accommodation is increased duodenal acid exposure, probably through triggering duodeno-gastric reflexes [102, 103]. Though studies suggest normal acid production in FD patients [104] and only an increase in the duodenal acid exposure due to slow motility, or impaired mucosal barrier, there are other studies which suggest increased acid production as well in a subset of patients [105].

In a pilot study done by Gharote [106], yoga cleansing technique *Vatasara* (air swallowing) resulted in marked decrease in total acidity. This particular has also been hypothesized for eradication of *H. pylori* infection [107]. However, no other study has been conducted with yoga on gastric acid levels. There are *Pranayama* (breathing) techniques such as *Shitali* and *Shitkari* in yoga, that are suggested traditionally for cooling down the body and removing excessive *Pitta* that is correlated to acid and bile levels in the body. However, no study has been conducted to elaborate on the mechanism which substantiates these claims and would require further investigation.

Further, there is evidence suggesting the role of anxiety in impaired gastric accommodation [108, 109]. Yoga has proven its efficacy in reducing anxiety and anxiety disorders which are detailed further below.

Possible Role of Yoga in Gastric Hypersensitivity

Reduced anti-nociceptive role of the vagus nerve [110], corticosterone-induced increased excitability of dorsal root ganglion neurons [66, 111], increased acid secretion [105] or increased acid exposure decreased due to slow gastroduodenal motility [112], as well as altered CNS pain processing [22, 113] are implicated in gastric hypersensitivity.

Impaired vagal function is associated with lower visceral sensory thresholds similar to those observed in vagotomy [110]. It has been found that vagal nerve stimulation (electric stimulation of the vagus nerve) has an anti-nociceptive effect [114, 115]. Therefore, enhancing vagal tone through yoga (research already discussed above) might have a positive effect. In an RCT, done on 40 FD patients, breathing exercises with vagal biofeedback (at 6 breaths/min, 5 min each day for 4 weeks) resulted in the improvement of drinking capacity and quality of life, but did not improve baseline vagal tone or intragastric volume [116].

Also, the anti-nociceptive effect of vagal nerve stimulation may rely on central inhibition [70, 114]. Gamma-aminobutyric acid (GABA) receptors in the thalamus are implicated in pain control [117, 118]. Though the levels of GABA are unaltered in FD patients [119], studies have shown improved FD symptoms with GABA receptor agonist in rat model [120] or GABA analog medicines [121]. Gamma-aminobutyric acid also has a role in inhibition of cortisol/CRH activity [122]. Some papers have suggested that yoga may lead to 27% increase in GABA levels immediately after a 60 min yoga session [123]

or a 34% increase in thalamic GABA levels in experienced yoga practitioners and a 15% increase in novices with 12 weeks of yoga asana training [124]. At least once a week Yoga intervention may be necessary to maintain the elevated GABA levels [125].

Another mechanism that could be responsible for increased visceral hypersensitivity is local immune activation causing impaired epithelial and mucosal barrier also duodenal inflammation which may then become hypersensitive to acid. Stress also causes weak mucosal barrier. These are discussed below.

Possible Role of Yoga in Duodenal Inflammation and Weak Mucosal Barrier

The levels of circulating pro-inflammatory and anti-inflammatory cytokines such as tumor necrosis factor alpha (TNF- α), interleukin (IL)-1 β , IL-4, IL-5 and IL-13, IgE antibodies [66], as well as small-intestinal-homing T cells are increased in patients with FD and correlated with symptom intensity [126]. Growing evidence suggests that yoga therapy has immunomodulatory effects. Many studies, reviews, and meta-analyses have reiterated the beneficial effects of yoga in reducing inflammation and inflammatory markers. Morgan et al. [127] in their meta-analysis on mind-body therapies including tai chi, qui gong, or yoga found a small effect on decreasing inflammatory markers such as IL-6 and TNF- α . In a systematic review of 15 studies, 11 studies reported positive effects of yoga on inflammatory biomarkers. Analysis showed greater improvements in inflammation with increasing doses of yoga therapy [128]. Another review too recorded evidence for the benefits of yoga on levels of inflammatory markers, such as C-reactive protein (CRP), IL-1 β , IL-6, TNF- α and interferon-gamma (INF- γ) as well as circulating cortisol and suggested use of Yoga as adjuvant therapy in conditions with an inflammatory component [129].

In a RCT on 200 breast cancer patients [130] lowered IL-6, TNF- α , IL-1 β as well as higher vitality and lower fatigue were observed in the yoga group as compared to the control group. Another study on patients with chronic inflammatory diseases participants reported reduction in inflammatory markers including IL-6 and TNF- α with a short-term yoga-based lifestyle program for 10 days [131]. In a study on expert meditators, yoga was found to be beneficial in reducing pro-inflammatory cytokines including IL-6, IL-2, CRP, TNF- α and INF- γ [132]. Yet another study [133] reported reduction in salivary concentrations of pro-inflammatory cytokines IL-1 β , IL-8, and monocyte chemotactic protein -1 (MCP-1) after twenty minutes of yogic breathing.

Studies have also been done on genomic markers of inflammation. Bower and Irwin in their descriptive study [134] that included 26 RCTs of mind-body therapies including yoga, showed mixed effects on circulating inflammatory markers, including CRP and IL-6 but more consistent findings on genomic markers. Studies included in the above review showed decreased expression of inflammation-related genes and reduced signaling through the proinflammatory transcription factor, nuclear factor kappa B (NF- κ B). In their review they observed that alterations in inflammatory gene expression were identified after relatively short 6-week interventions

while effects on non-specific markers, including circulating inflammatory markers took longer to emerge and required more intensive practice of the treatment. In a RCT on breast cancer patients [135], 12 weeks of Iyengar yoga program resulted in reduced activity of the pro-inflammatory transcription factor NF- κ B. Though no significant changes in CRP or IL-6 were observed, plasma levels of soluble TNF receptor type II remained stable in the yoga group but increased in the control group. In another RCT [136] on 45 dementia caregivers, it was found that meditation reverses the pattern of increased NF- κ B.

This is plausible as inflammatory responses are modulated via downregulating of HPA axis as well as moving the balance of autonomic nervous system towards parasympathetic tone. This leads to a greater sympatho-vagal balance which is thought to reduce inflammation via decreased adrenergic signaling [137] as well as a vagally mediated cholinergic anti-inflammatory pathway [138].

Stress can also lead to a weak mucosal barrier and an increase duodenal mucosal permeability via mast cell activation mediated by CRH [139]. Activation of SNS causes decreased mucosal secretion while PNS exerts both excitatory and inhibitory control over gastric and intestinal tone, motility and secretions [90]. Bhole [140] studied the changes in the mucosal pattern of stomach following anger and frustration in seven students undergoing physical training and reported that yoga and relaxation-cum-meditation could bring back the changed tone and changed mucosal pattern of stomach better than ordinary rest.

Also, in FD patients, serotonin-induced bicarbonate ion secretion, which plays a role in restoring ionic balance during acidification of duodenal lumen is reduced [141] that result in a compromised mucosal barrier. 5-hydroxytryptamine (5-HT) or serotonin content [measured as 5-hydroxyindoleacetic acid (5-HIAA) during a meal] studied immunohistochemically in duodenal mucosa of post-infectious FD patients with 6 months or more after the infection was found to be significantly reduced, but not in recovered controls [142]. Research suggests positive effects of yoga on serum 5-HT levels. Twelve weeks of yoga practice in premenopausal women maintained serum serotonin levels while the control group recorded a decrease [143]. In another study on university students, 12 weeks of yoga practice significantly increased plasma levels of serotonin compared with the control group [144]. In a study on mental depression cases, plasma serotonin levels increased after 3 and 6 months of Kundalini yoga practice [145]. In another study on polar sojourners, 10 months of daily yoga practice increased serotonin serum levels in the yoga group from 6.4 ± 1.6 ng/mL to 6.6 ± 0.4 ng/mL indicating a 3.1% increase, while no such increase was noticed in the control group [146].

Possible Role of Yoga in Psychological Distress/Mood Disorders

Considering the role of mood disorders such as stress, anxiety and depression in the etiology of at least 50% cases of DGBIs, it is important to look at the role, yoga can play in addressing them. Yoga has proven to be effective in controlling stress response, reducing anxiety and depression in numerous research worldwide. Studies suggest that stress-induced allostatic load is associated with increased sympathetic nervous

system activity, increased HPA axis activity and decreased GABAergic activity [70]. Research also suggest that yoga practices reduce stress-induced allostatic load by increasing the parasympathetic nervous system activity, decreasing the HPA axis activity and increasing GABAergic activity in part through vagal stimulation [69, 70, 147].

Cramer et al. [148] in their systematic review and meta-analysis suggested that yoga might be an effective and safe intervention for individuals with elevated levels of anxiety. Another review concluded that although all studies reported positive findings for the use of yoga in anxiety but owing to methodological inadequacies more robust studies are required [149]. In a study observing the effects of mindfulness-based meditation on anxiety and depression in chronic pain patients, noticeable improvement in depression, anxiety and pain was found over a yearlong observation period [150]. In an RCT conducted on inflammatory bowel disorders (IBD) patients, to assess the effect of guided imagery with relaxation-training technique, yoga was found to decrease pain, stress as well as anxiety levels and improvement in mood and the quality of life, compared to wait-listing control group [151].

In another RCT conducted on patients with IBD, participation in the Breath-Body-Mind Workshop (BBMW) resulted in significant improvements in psychological (stress, anxiety & depression) and physical symptoms (inflammatory bowel disorders symptom score), quality of life, and CRP [152]. An RCT study involving 90 young healthcare students compared the effects of training in slow and fast *Pranayama* for 3 months. There was a reduction in perceived stress in both fast and slow *Pranayama* group [153].

Research papers have indicated increased breathing frequency and decreased depth of breathing in anxiety, vagal system deactivates while there is an activation of SNS [154]. Since the vagal tone can be influenced by breathing, hence it's plausible that increasing the depth of breathing and decreasing the breath frequency through *Pranayama* would help in the mitigation of stress and anxiety [155].

A systematic review of five RCTs reported yoga-based interventions to be effective in treating depression ranging from mild depressive symptoms to major depressive disorder [156]. In an RCT on 40 elderly women, yoga therapy for 60 mins twice a week reported decrease in levels of depression and anxiety after 3 months of intervention along with an increase in self-esteem [157]. In another study on young adults, with self-reported symptoms of depression and scores in the "mild mood disturbance" range on the BDI, five weekly sessions of Iyengar yoga resulted in reduction in scores of depression [158]. In another RCT involving 80 patients with a major depressive disorder, it was found that individuals receiving yoga therapy with standard medical therapy had significantly lower scores on depression, anxiety and the Clinical Global Impression (CGI) scale than those receiving only standard medical therapy after 30 days of intervention [159].

Possible Role of Yoga in Gut-Brain Axis

Yoga therapy can play a bidirectional role in the regulation of gut-brain axis, i.e., from top-down as well as bottom-up pathway (Fig. 1). The role of yoga therapy in psychological disorders such as stress, anxiety and depression is evident from

multiple high-quality research papers as already discussed above. Thus, it is likely to benefit symptoms of FD arising from brain-gut etiology. On the other hand, the beneficial role of yoga in decreasing inflammation and inflammatory markers is also coming to the forefront with recent research in various chronic inflammatory disorders. Hence, it might likely play a positive role in duodenal inflammation thus addressing the gut-brain pathway too. However, evidence-based research is required to see the effect of yoga in FD patients.

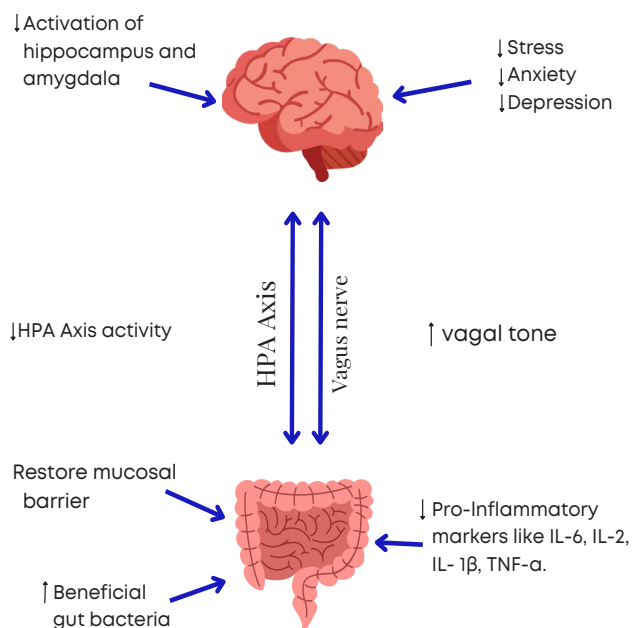


Fig. 1. Postulated effects of yoga at various levels of gut-brain interaction. HPA: hypothalamus-pituitary axis; IL: interleukin-2; TNF- α : tumor necrosis factor- α .

Additionally, the role of yoga in enhancing vagal tone and downregulating HPA axis has already been elaborated upon. Vagal nerve and HPA axis both are important link between CNS and ENS, and disturbance in them has been linked to altered gastro-duodenal sensory-motor function. However, research specific to yoga and FD is required to see the extent of benefit in FD population.

Yoga also has a direct effect on neural processes including modulating the activity of amygdala and hippocampus. Research indicate greater activation of the hippocampus, Para hippocampal gyrus, and amygdala, and lower activation of dorsal prefrontal cortex, insula, caudate, and cerebellum in FD patients with abuse history [160]. A decrease in volume and activity has been observed in the amygdala [161] and in the hippocampus [162] in practitioners of different styles of meditation.

Gut microbiota dysbiosis has also been implicated in FD patients. Meditation has been significantly correlated with the enrichment of three beneficial bacteria genera (*Bifidobacterium*, *Roseburia*, and *Subdoligranulum*) [163, 164].

CONCLUSIONS

Functional dyspepsia is a chronic and relapsing disorder that incurs significant healthcare costs and impairs quality

of life significantly. Because of heterogeneity in etiology and symptoms and lack of patient-tailored therapy options, it is often difficult to treat especially with psychological associations. The definition, pathophysiology and treatment of FD has been constantly evolving and with the most recent Rome IV guidelines in 2016, the focus has been on evolving a biopsychosocial model for FD and other FGIDs. The need to integrate evidence-based brain-gut therapies within gastroenterology practice settings is now being recognized. Effective management requires a biopsychosocial approach that addresses the variability and complexity of patients who have these disorders. Complementary and alternative medicine therapies including yoga therapy use a more patient-centered approach. Yoga therapy is a holistic mind-body approach which can play a bidirectional role in modulating GBA. Though various CAM therapies have been used for managing FD, their usage is limited to certain ethnic population. Use of yoga therapy, however, would be novel in this direction. Also, in the present times when yoga is spreading fast worldwide and has recorded efficacy in various disorders irrespective of ethnicity or culture, it is pertinent to explore its role in the integrative management of FD. Yoga therapy is a non-drug, cost-effective adjuvant that can be easily integrated into daily life and practiced long-term thus preventing relapses.

Conflicts of interest: None to declare.

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